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18351 U.S. PTO

PTO/SB/05 (08-03)

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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	1680-002-CIP3
First Inventor	Alan N. Glazier
Title	Multi-Focal Intraocular Lens, and Methods for Making and Using Same
Express Mail Label No.	EL974225218US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

1. ☐ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 80]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table,  
or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 16]
5. Oath or Declaration [Total Sheets \_\_\_\_\_]
  - a. ☐ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
  - i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
name in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ Paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: Transmittal Letter

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: PCT/US02/017964

Prior application information:

Examiner \_\_\_\_\_

Art Unit: \_\_\_\_\_

For CONTINUATION OF DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number: \_\_\_\_\_ OR ☐ Correspondence address below

Name \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Zip Code \_\_\_\_\_

Country \_\_\_\_\_

Telephone \_\_\_\_\_

Fax \_\_\_\_\_

Name (Print/Type)

Stephen T. Sullivan

Registration No. (Attorney/Agent)

32,444

Signature \_\_\_\_\_

Date

December 10, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# Sullivan Law Group

A Professional Corporation

Patents, Trademarks, Copyrights,  
Related Intellectual Property Law

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Facsimile: (602) 956-6262

December 10, 2003

## *Via Express Mail*

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**Re: *Filing of Continuation-in-Part Patent Application Entitled  
"MULTI-FOCAL INTRAOCULAR LENS, AND METHODS  
FOR MAKING AND USING SAME"***  
**Our ref.: 1680-002-CIP3**

We hereby submit the following papers in connection with the filing of this continuation-in-part patent application entitled "MULTI-FOCAL INTRAOCULAR LENS, AND METHODS FOR MAKING AND USING SAME," inventor Dr. Alan N. Glazier of Rockville, Maryland:

1. Utility Patent Application Transmittal (1 page);
2. Specification 79 pages including claims (3 independent claims and 21 claims total);
3. Abstract of the Disclosure (1 page);
4. Informal Drawings (16 sheets, Figs. 1-31); and
5. Postcard for receipt acknowledgment.

Please accord this application a serial number and the filing date of **December 10, 2003**.

This application is a continuation-in-part of PCT/US02/017964, filed June 7, 2002, which is a continuation in part of U.S. patent application Serial No. 10/158,574, filed in the U.S. Patent & Trademark Office on May 30, 2002, which is a continuation-in-part of U.S. patent application Serial No. 10/139,144, filed in the U.S. Patent & Trademark Office on May 3, 2002, the complete disclosures of which are incorporated herein by reference.

Commissioner for Patents  
December 10, 2003  
Page 2

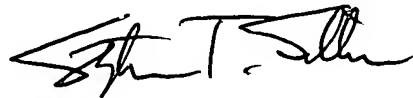
This application claims the benefit of priority of provisional patent application Serial No. 60/297,306, filed in the U.S. Patent & Trademark Office on June 11, 2001, the complete disclosure of which is incorporated herein by reference.

Please send all correspondence to the following address:

SULLIVAN LAW GROUP  
1850 North Central Avenue, Suite 1140  
Phoenix, Arizona 85004-4586

Please contact us if you have any questions.

Sincerely,



Stephen T. Sullivan  
Reg. No. 32,444

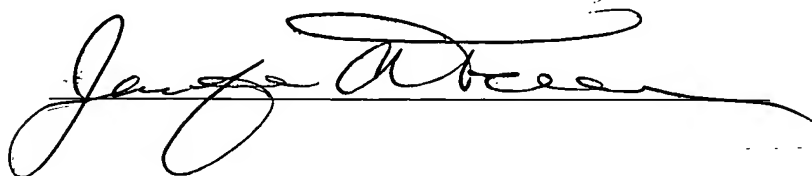
STS/rar  
Enclosures

**CERTIFICATE OF EXPRESS MAIL**

Express Mail Mailing Label No. EL 974225218 US

Date of Deposit: December 10, 2003

I hereby certify that this paper with listed documents is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to MS Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**CONTINUATION-IN-PART APPLICATION OF**

**ALAN N. GLAZIER**

**ENTITLED**

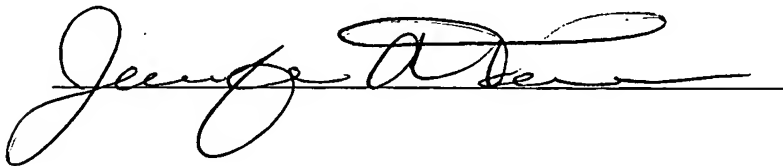
**“MULTI-FOCAL INTRAOCULAR LENS, AND  
METHODS FOR MAKING AND USING SAME”**

**CERTIFICATE OF EXPRESS MAIL**

Express Mail Label No. EL 974225218 US

Date of Deposit December 10, 2003

I hereby certify that the attached continuation-in-part patent application is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed MS PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A handwritten signature in cursive script, appearing to read "George A. Danner", is written over a horizontal line.

Attorney Docket 1680-002-CIP3

**EXPRESS MAIL CERTIFICATE**

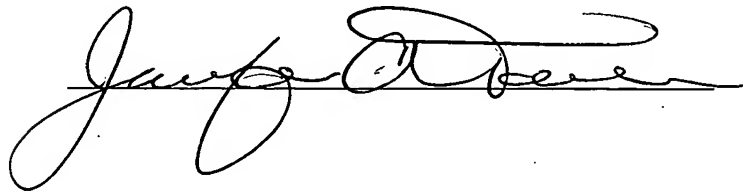
**SPECIFICATION, CLAIMS, AND ABSTRACT (80 PAGES)**

**“MULTI-FOCAL INTRAOCULAR LENS, AND METHODS FOR  
MAKING AND USING SAME”**

Express Mail Label No. EL 974225218 US

Date of Deposit: December 10, 2003

I hereby certify that the attached specification, including 21 claims and abstract, totaling 80 pages is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed MS PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A handwritten signature in black ink, appearing to read "Jeffrey A. Davis", is written over a horizontal line.

Attorney Docket: 1680-002-CIP3

**EXPRESS MAIL CERTIFICATE FOR DRAWINGS**

**(31 Drawing Figures on 16 Sheets)**

**“MULTI-FOCAL INTRAOCULAR LENS, AND METHODS FOR  
MAKING AND USING SAME”**

Express Mail Label No. EL 974225218 US

Date of Deposit December 10, 2003

I hereby certify that the attached drawings are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed MS PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A handwritten signature in black ink, appearing to read "James A. ...", is written over a horizontal line.

Attorney Docket No.: 1680-002-CIP3

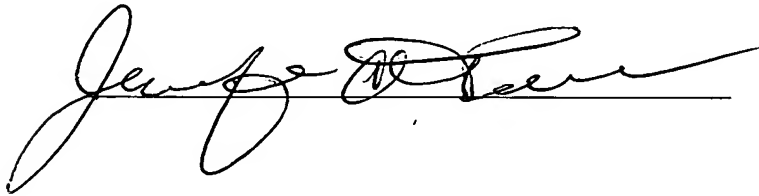
**EXPRESS MAIL CERTIFICATE**  
**UTILITY PATENT APPLICATION TRANSMITTAL**

**“MULTI-FOCAL INTRAOCULAR LENS, AND METHODS FOR  
MAKING AND USING SAME”**

Express Mail Label No. EL 974225218 US

Date of Deposit: December 10, 2003

I hereby certify that the attached Utility Patent Application transmittal is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed MS PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket: 1680-002-CIP3